

To 致: Bank Consortium Trust Co. Ltd. 銀聯信託有限公司
c/o oOo Securities (HK) Group Limited (formerly known as orientiert XYZ Securities Limited)

FORM: RIU (SEP) – TCM

奧翱集團(香港)證券有限公司 (前稱orientiert XYZ Securities Limited)

Room 3301, Tower One, Lippo Centre, No.89 Queensway, Hong Kong 香港金鐘道89號力寶中心1座33樓3301室

Fax 傳真: (852) 2172 0999 AMTD MPF Hotline AMTD 強積金熱線: (852) 2172 0909 Website 網址: www.oogroup.xyz

AMTD MPF Scheme - Relevant Income Update / Resumption of Self-employment Form

AMTD 強積金計劃 - 有關入息更新/恢復自僱表格 (自僱人士適用)

Please mark "✓" in the appropriate box. 請於適用的方格內填上 "✓" 號。

PART I. SELF-EMPLOYED PERSON DETAILS 自僱人士資料			
Name of Scheme 計劃名稱	AMTD MPF Scheme AMTD 強積金計劃		Participating Plan No. (Internal Use Only) 參與計劃編號 (內部專用)
Name of Self-employed Person 自僱人士姓名	English (Mr / Ms / Mrs*)		HKID Card No. 香港身份證號碼
	中文 (先生 / 小姐 / 女士*)		Passport No. (ONLY for member without HKID Card) 護照號碼 (本欄僅供沒有香港身份證的成員填寫)
Residential Address 住址			
Correspondence Address 通訊地址			
Contact Details 聯絡資料	Contact Person (Mr / Ms / Mrs*) 聯絡人 (先生 / 小姐 / 女士*)		E-mail Address 電郵地址
	Telephone No. (Day Time) 電話號碼 (日間)	Mobile Phone No. 手提電話號碼	Fax No. 傳真號碼
PART II. EFFECTIVE DATE 生效日期			
Effective Date: 生效日期: — — D 日 M 月 Y 年			
Note: The effective date should be the first day of a contribution period. 注意: 生效日期須為供款期之第一天。 All changes have no retrospective effect and those contributions made cannot be refunded. Mandatory contributions made can only be withdrawn according to the statutory requirements under the Mandatory Provident Fund Schemes (General) ("MPFS") Regulation. 任何更改並無追溯效力, 而所有已繳付的供款, 將不會退還。已繳付的強制性供款只可在符合強積金計劃規例之法定要求下提取。			
PART III. DECLARATION OF RELEVANT INCOME 有關入息聲明			
I declare that my annual relevant income for the payment of mandatory contributions to the AMTD MPF Scheme for the financial period of the Participating Plan Commencement Date is HK\$_____ and confirm that I will make mandatory contributions based on this figure. 本人現聲明於參與計劃開始日期之財政年度, 本人就上述 AMTD 強積金計劃繳付強制性供款的全年有關入息為_____港元, 並確認將根據此數額作出強制性供款。			

* Delete as appropriate 請刪去不適用者

Important Information 重要事項

1. Your relevant income for the purposes of calculating mandatory contributions should be based on the **assessable profits stated on your most recent Notice of Assessment** issued by the Commissioner of Inland Revenue **within the past 24 months**.
您應根據稅務局於過去 24 個月內發出的最近期評稅通知書上所述的應評稅利潤作為計算您的強制性供款的有關入息。
2. If you do not have the Notice of Assessment as stated above, you may report your relevant income according to one of the following where applicable:
如您沒有上述評稅通知書，可根據下列其中一項(如適用)填報您的有關入息：
 - (i) If your most recent Notice of Assessment was issued more than 24 months ago, or you have objected to or appealed against your most recent Notice of Assessment, you may declare your relevant income as equivalent to your assessable profits for the preceding year calculated in accordance with the Inland Revenue Ordinance.
如您的最近期評稅通知書乃 24 個月前發出，或您反對最近期的評稅通知書，或已就最近期的評稅通知書提出上訴，您可以聲明您的有關入息相等於上一個課稅年度根據稅務條例計算的應評稅利潤。
 - (ii) If you do not have any evidence of relevant income, e.g. your business is newly established, you may declare your annual relevant income as equivalent to the basic allowance under the Inland Revenue Ordinance.
如您沒有任何有關入息證明，例如您的業務於最近才成立，您可以聲明您的全年有關入息相等於稅務條例下的基本免稅額。
3. If your circumstances do not enable you to declare your relevant income based on (1) or (2) above, you may declare your relevant income as equivalent to the maximum level of relevant income, i.e. HK\$360,000 per year.
若您未能根據上述(1)或(2)的情況聲明有關入息，您可以聲明您的有關入息相等於最高有關入息水平，即每年 360,000 港元。
4. If your relevant income is above the maximum level of relevant income of HK\$360,000 per year, you may also declare your relevant income as equivalent to this maximum level.
如您的有關入息高於每年 360,000 港元之最高有關入息水平，您亦可聲明您的有關入息相等於該最高水平。
5. If your business(es) sustain(s) a loss which is calculated in accordance with Part IV of the Inland Revenue Ordinance (Cap.112), please provide a Statement of Loss that covers the latest complete financial period of your business(es) as evidence.
若按照《稅務條例》(第 112 章)第 IV 部份計算，您的業務蒙受虧損，請提供您的業務最近財政期的虧損額計算表作為證據。

PART IV. VOLUNTARY CONTRIBUTION (IF ANY) 自願性供款(如有)

(Only applicable to those Self-employed Persons who want to resume self-employment 只適用於恢復自僱人士填寫)

My Voluntary Contribution will be determined as follows: 本人之自願性供款基準如下：

- ☐ _____% of my MPF Relevant Income
本人強積金有關入息之 _____%
- ☐ An amount of HK\$_____ contributed monthly / annually*
(The Basis of Voluntary Contribution should be the same as the Basis of Mandatory Contribution selected.)
每月 / 年* _____ 港元
(自願性供款基準應與已選之強制性供款基準相同。)

PART V. CONTRIBUTION BASIS 供款基準

(Only applicable to those Self-employed Persons who want to resume self-employment 只適用於恢復自僱人士填寫)

My contribution to the Plan for the current financial period from the effective date is on (please select one item):

本人就生效日期起之現行財政期之有關供款基準為 (請選擇其中一項)：

- ☐ Monthly basis (Calendar month) 按月供款 (曆月)
Contribution will be paid by the end of each month 供款將於每月最後一天或之前繳付
- ☐ Yearly basis 按年供款
Contribution will be paid by 30 June of each year 供款將於每年 6 月 30 日或之前繳付

* Delete as appropriate 請刪去不適用者

Trustee & Administrator 受託人及行政管理人：Bank Consortium Trust Company Limited 銀聯信託有限公司

18/F Cosco Tower, 183 Queen's Road Central, Hong Kong 香港皇后大道中 183 號中遠大廈 18 樓

PART VI. PERSONAL INFORMATION COLLECTION STATEMENT 收集個人資料聲明

The personal data provided by or in respect of Members and Participating Employers of the AMTD MPF Scheme (the "Scheme") (concerning application records and operational records and / or their dealing / transaction details records) will only be accessed and handled by properly authorised staff of Bank Consortium Trust Company Limited ("BCTC", the trustee of the Scheme), the sponsor of the Scheme (currently oOo Securities (HK) Group Limited (formerly known as orientiert XYZ Securities Limited) ("orientiert")) and their properly authorised service providers, employees, officers, directors and agents, and auditors of the Scheme, and may be used, disclosed and / or transferred (whether in or outside Hong Kong) to such persons as BCTC or any of its service providers may consider necessary, including governmental authorities and regulators, for any of the following purposes:

(i) exercising or performing the functions conferred or imposed by or under or for the purposes of the Mandatory Provident Fund Schemes Ordinance ("Ordinance"); (ii) providing services in respect of Mandatory Provident Fund and the Scheme including the processing, administering, managing, and analysing of their, as the case may be, contributions, accrued benefits and portfolios and direct marketing of Mandatory Provident Fund services; (iii) improving the provision of Mandatory Provident Fund services by BCTC to customers generally (including the facilitation of the provision of Mandatory Provident Fund services to enable the customers of BCTC generally to access Mandatory Provident Fund (or other) account details, for example, through the internet; (iv) compliance with applicable laws and regulations, and court order and / or (v) any other purposes for the exercise or performance of the above mentioned functions. If there is any change in the information provided, BCTC should be notified as soon as practicable. Failure to provide the information requested may result in BCTC being unable to process the instructions. All such information may be retained after Members and Participating Employers ceased to participate in the Scheme.

Members and Participating Employers have a right to request access to and correction of any personal data or to request that personal data about them not be used for direct marketing purposes. Requests can be made in writing to the Data Protection Officer at BCTC, 18/F Cosco Tower, 183 Queen's Road Central, Hong Kong. Under the Personal Data (Privacy) Ordinance, Members and Participating Employers have the right to obtain a copy of information held about Members and Participating Employers and for which the Members and Participating Employers may be charged a fee.

由AMTD 強積金計劃(「本計劃」)的成員及參與僱主所提供或相關之個人資料(有關申請及運作記錄)及/或他們的買賣/交易細節記錄僅供銀聯信託有限公司(「銀聯信託」, 本計劃之受託人)、本計劃之保薦人(現為奧翱驚集團(香港)證券有限公司(前稱orientiert XYZ Securities Limited)(「orientiert」))及它們正式授權之服務供應商、僱員、主任、董事及代理及本計劃之核數師使用及處理, 及在銀聯信託或其任何服務供應商認為有需要時, 或會被使用、披露及/或轉移(在香港境內或境外)予個別人士, 包括政府機關及監管機構作以下列任何之目的: (一)行使或執行強制性公積金計劃條例(「條例」)下所授予或施加之職能或根據該條例的目的而行使或執行職能; (二)提供強制性公積金及本計劃的服務包括處理、掌管、管理及分析供款、累算權益及投資組合, 視乎情況而定, 及直銷強制性公積金服務; (三)改善銀聯信託提供予客戶一般之強制性公積金服務(包括協助提供強制性公積金服務以令銀聯信託之客戶可經例如互聯網處理強制性公積金(或其他)戶口資料); (四)遵守適用之法律及規例及法院命令及/或(五)任何以行使或執行上述職能作目的之用途。如所提供資料有所變更, 應在可行的情況下儘快通知銀聯信託。未能提供所需資料可能導致銀聯信託不能處理有關指示。於成員及參與僱主停止參與本計劃後, 受託人仍可保留上述所有資料。成員及參與僱主有權要求查閱或更改任何個人資料或要求個人資料不被用作直銷之用。可以書面聯絡銀聯信託之資料保護主任, 香港皇后大道中183號中遠大廈18樓。

PART VII. AUTHORISATION AND DECLARATION 授權及聲明

- (1) I understand and agree to the terms of the Personal Information Collection Statement as set out in this form.
- (2) I undertake that if there is any change in the information so provided, I shall notify BCTC and oOo Securities (HK) Group Limited as soon as reasonably practicable.
- (3) I declare that the information provided in Part III is my Relevant Income for the current financial period of the Plan.
- (4) I declare that to the best of my knowledge and belief, the information given in this form and / or its attachment(s), if any, is correct and complete.

- (1) 本人明白及同意於此表格之收集個人資料聲明條款。
- (2) 本人承諾若所提供之資料有任何更改, 將儘快通知銀聯信託及奧翱驚集團(香港)證券有限公司。
- (3) 本人聲明第III部份所提供之資料為本人於該計劃的現行財政期之有關入息。
- (4) 本人聲明, 盡本人所知及所信, 本表格及隨附之文件(如有)所提供的資料均屬正確無訛且無缺漏。

S.V.

Signature of Applicant

申請人簽署

Date (D / M / Y)

日期(日 / 月 / 年)

Internal Use Only 內部專用

Date Received:

Input By:

Verified By:

Remarks:

Trustee & Administrator 受託人及行政管理人: Bank Consortium Trust Company Limited 銀聯信託有限公司

18/F Cosco Tower, 183 Queen's Road Central, Hong Kong 香港皇后大道中183號中遠大廈18樓